IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR § 1.56, § 1.97, and § 1.98

FORM PTO-1449

Sheet 1 of 11

APPLICATION SERIAL NO.: ATTY DKT NO.: 10/645,473

38349-0121

CONFIRM NO .:

8003

APPLICANTS: Hendricksen et al.

CUSTOMER NO .: 24961

FILING DATE: August 20, 2003

GROUP ART UNIT: 2855

U.S. PATENT DOCUMENTS

			0.0.1	ATENT DOGGINENTO		
†EX'R INITIAL	REF.#	PATENT NUMBER	DATE	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
AD	A	2003/0018327 (A1)	01/23/03	Truckai et al.	606/32	07/18/02
	В	2003/0018344 (A1)	01/23/03	Kaji et al.	606/130	07/19/02
1	С	2003/0050648 (A1)	03/13/03	Alfemess et al.	606/108	09/11/01
	D	2003/0083671(A1)	05/01/03	Rimbaugh et al.	606/108	10/25/01
1	E	2003/0181922 (A1)	09/25/03	Alfemess	606/108	03/20/02
T	F	2003/0183235 (A1)	10/02/03	Rimbaugh et al.	128/207.15	04/17/03
	G	2002/0138135 (A1)	09/26/02	Duerig et al.	623/1.24	03/21/01
	Н	2002/0112729 (A1)	08/22/02	DeVore et al.	128/207.15	02/21/01
T	1	2002/0111620 (A1)	08/15/02	Cooper et al.	606/41	09/04/01
	J	2002/0111619 (A1)	08/14/02	Keast et al.	606/41	09/04/01
	К	2002/0095209 (A1)	07/18/02	Zadno-Azizi et al.	623/1.24	02/08/02
_	L	2002/0087153 (A1)	07/04/02	Roschak et al.	606/27	09/04/01
	М	2002/0077696 A1	06/20/02	Zadno-Azizi et al.	623/1.24	02/21/02
	N	2002/0077593 (A1)	06/20/02	Perkins et al.	604/96.01	02/11/02
	0	2002/0062120 (A1)	05/23/02	Perkins et al.	604/516	12/13/01
	P	2002/0026233(A1)	02/28/02	Shaknovich	623/1.24	03/20/01
	Q	2002/0007831(A1)	1/24/02	Davenport et al.	128/200.24	07/18/01
	R	2001/0056274 (A1)	12/27/01	Perkins et al.	504/516	07/02/01
	S	2001/0052344 (A1)	12/20/01	Doshi	128/207	06/14/01
	Т	2001/0051799 (A1)	12/13/01	Ingenito	604/516	04/20/01
V	υ	2001/0041906 (A1)	11/15/01	Gonzalez	606/191	07/24/01
ΑĎ	٧	2001/0037808 A1	11/08/01	Deem et al.	128/200.24	03/02/01
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EXAMINER'S SIGNATURE

/Annette Dixon/ (10/12/2006)

DATE CONSIDERED

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FORM PTO-1449

Sheet 2 of 11

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APPLICATION SERIAL NO.: 10/645,473	ATTY DKT NO.: 38349-0121	CONFIRM NO.; 8003
APPLICANTS: Hendricksen et al.	CUSTOMER NO.: 24961	
FILING DATE: August 20, 2003	GROUP ART UNIT:	

	U.S. PATENT DOCUMENTS						
AĎ	W	2001/0025132 (A1)	09/27/01	Alfemess et al.	600/37	02/09/01	
i	Х	2,981,254	04/25/61	Vanderbilt	128/350	11/12/57	
	Υ	3,657,744	04/25/72	Ersek	128/334	05/08/70	
•	Z	3,788,327	01/29/74	Donowitz et al.	128/350	03/30/71	
•	AA	3,874,388	04/01/75	King et al.	128/334	02/12/73	
•	AB	4,014,318	03/29/77	Dockum et al.	128/1	05/22/75	
•	AC	4,086,665	05/02/78	Poirier	623/1	12/16/76	
•	В	4,212,463	07/15/80	Repinski et al.	273/418	02/17/78	
•	AD	4,250,873	02/17/81	Bonnet	600/104	04/17/78	
•	AE	4,302,854	12/01/81	Runge	3/1.7	06/04/80	
	AF	4,477,930	10/23/84	Totten et al.	3/1.5	09/28/82	
·	AG	4,710,192	12/01/87	Liotta et al.	623/1	10/17/86	
•	AH	4,732,152	03/22/88	Wallsten et al	128/343	12/5/85	
•	Al	4,759,758	07/26/88	Gabbay	623/2	12/07/84	
•	AJ	4,795,449	01/03/89	Schneider et al.	604/329	08/04/86	
—	AK	4,808,183	02/28/89	Panje	623/9	06/03/80	
	AL	4,819,664	04/11/89	Nazari	128/207.15	02/17/88	
	AM	4,830,003	05/16/89	Wolff et al.	128/343	06/17/88	
	AN	4,832,680	05/23/89	Haber et al.	600/31	07/03/86	
	AO	4,846,836	07/11/89	Reich	623/11	10/03/88	
V	AP	4,850,999	07/25/89	Planck	623/1	05/26/81	
AD.	AQ	4,852,568	08/01/89	Kensey	128/325	12/28/87	

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UNDER 37 CFR § 1.56, § 1.97, and § 1.98

FORM PTO-1449

Sheet 3 of 11

APPLICATION SERIAL NO.: 10/645,473	ATTY DKT NO.: 38349-0121	CONFIRM NO.: 8003
APPLICANTS: Hendricksen et al.	CUSTOMER NO.: 24961	
FILING DATE: August 20, 2003	GROUP ART UNIT: 2855	

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			U.S. F	PATENT DOCUMENTS		·
AĎ	AR	4,877,025	10/31/89	Hanson	128/107.16	10/06/88
i	AS	4,934,999	06/19/90	Bader	600/29	07/28/88
	AT	4,968,294	11/06/90	Salama	600/30	02/09/89
	AU	5,061,274	10/29/91	Kensey	606/213	12/04/89
	AV	5,116,360	05/26/92	Pinchuk et al.	623/1	12/27/90
1	AW	5,116,564	05/26/92	Jansen et al.	264/255	10/10/89
	AX	5,123,919	06/23/92	Sauter et al.	623/2	06/23/92
_1	AY	5,151,105	09/29/92	Kwan-Gett	623/1	10/07/91
	AZ	5,161,524	11-92	Evans	128/203.15	
1	BA	5,306,234	04/26/94	Johnson	604/49	03/23/93
1	ВВ	5,352,240	10/04/94	Ross	623/2	05/31/89
1	ВС	5,358,518	10/25/94	Camilli	623/2	01/25/93
	8D	5,366,478	11/22/94	Brinkerhoff et al.	660/213	07/27/93
	BE	5,382,261	01/17/95	Palmaz	606/158	09/01/92
	BF	5,392,775	02/28/95	Adkins et al.	128/207.16	03/22/94
	BG	5,409,019	04/25/95	Wilk	128/898	11/03/93
_•	BH	5,411,507	05/02/95	Heckele	606/108	01/05/94
•	Bi	5,411,552	05/02/95	Andersen et al.	623/2	06/14/94
	BJ	5,413,599	05/09/95	lmachi et al.	623/2	12/13/93
YZ.	BK	5,417,226	05/23/95	Juma	128/885	06/09/94
•₩	BL	5,445,626	08/29/95	Gigante	604/349	06/03/94
AD	ВМ	5,486,154	01/23/96	Kelleher	600/104	06/08/93

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UNDER 37 CFR § 1.56, § 1.97, and § 1.98

FORM PTO-1449

Sheet 4 of 11

APPLICATION SERIAL NO.: 10/645,473	ATTY DKT NO.: 38349-0121	CONFIRM NO.: 8003
APPLICANTS: Hendricksen et al.	CUSTOMER NO.: 24961	· · · · · · · · · · · · · · · · · · ·
FILING DATE: August 20, 2003	GROUP ART UNIT: 2855	

			U.S. P.	ATENT DOCUMENTS		
AD	BN	5,499,995	03/19/96	Teirstein	606/192	05/25/94
	ВО	5,500,014	03/19/96	Quijano et al.	623/2	05/09/96
	BP	5,562,608	10/08/96	Sekins et al.	604/20	04/13/95
	BQ	5,645,565	07/08/97	Rudd et al.	606/213	06/13/93
	BR	5,660,175	08/26/97	Dayal	128/207.15	08/21/95
	BS	5,662,713	09/02/97	Andersen et al.	623/12	09/14/95
	BT	5,683,451	11/04/97	Lenker et al.	623/1	06/07/95
	BU	5,697,968	12/16/97	Rogers et al.	623/1	08/10/95
	BV	5,755,770	5/26/98	Ravenscroft	623/1	01/31/95
	BW	5,800,339	09/01/98	Salama	600/29	05/02/97
	вх	5,840,081	11/24/98	Andersen et al.	623/2	02/19/97
	BY	5,851,232	12/22/98	Lois	623/1	3/13/97
	BZ	5,855,587	01/05/99	Hyon et al.	606/188	08/22/96
	CA	5,855,597	01/5/99	Jayaraman	623/1	05/07/97
	СВ	5,855,601	01/05/99	Bessier et al.	623/2	06/21/96
	CC	5,944,738	08/31/99	Amplatz et al.	606/213	02/06/98
	CD	5,947,997	09/07/99	Pavcnik et al.	606/213	06/30/97
	CE	5,954,766	09/21/99	Zadno-Azizi et al.	623/2	09/16/97
	CF	5,957,949	09/28/99	Leonhardt et al.	606/194	05/01/97
$\sqrt{ }$	CG	5,976,174	11/02/99	Ruiz	606/213	12/15/97
V.	СН	5,984,965	11/16/99	Knapp et al.	623/12	08/28/97
AD	CI	6,007,575	12/28/99	Samuels	623/1	06/06/97

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FORM PTO-1449

Sheet 5 of 11

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APPLICANTS: Hendricksen et al.	CUSTOMER NO.: 24961	
FILING DATE: August 20, 2003	GROUP ART UNIT: 2855	

	U.S. PATENT DOCUMENTS							
AD	CJ	6,009,614	01/04/00	Morales	29/516	04/21/98		
	СК	6,020,380	02/01/00	Killian	514/570	11/25/98		
	CL	6,022,312	02/08/00	Chaussy et al.	600/29	02/20/98		
	CM	6,027,525	02/22/00	Suh et al.	623/1	05/23/97		
	CN	6,051,022	04/18/00	Cai et al.	623/2	12/30/98		
	СО	6,068,635	05/30/00	Gianotti	606/108	06/04/98		
	CP	6,068,638	05/30/00	Makower	606/159	10/27/98		
	ca	6,077,291	06/20/00	Das	606/213	11/26/96		
	CR	6,083,255	07/04/00	Laufer et al.	607/96	12/19/97		
	cs	6,123,663	09/26/00	Rebuffat	A61F2/00	12/23/98		
	СТ	6,135,729	10/24/00	Aber	417,420	07/09/99		
	CU	6,135,991	10/24/00	Muni et al.	604/509	03/27/98		
	CV	6,141,855	11/07/00	Morales	29/516	04/28/98		
	cw	6,162,245	12/19/00	Jayaraman	623/1.15	01/05/99		
	СХ	6,168,614 (B1)	01/02/01	Andersen et al.	623/1	02/20/98		
	CY	6,174,323 (B1)	01/16/01	Biggs et al.	606/232	06/05/98		
	CZ	6,183,520 (B1)	02/06/01	Pintauro et al.	623/23.64	11/10/98		
	DA	6,200,333 (B1)	03/13/01	Laufer	607/96	12/31/98		
	DB	6,206,918 (B1)	03/27/01	Campbell et al.	623/2.32	05/12/99		
	DC	6,234,996 (B1)	05/22/01	Bagaoisan et al.	604/97.01	06/23/99		
	DD	6,240,615 (B1)	06/05/01	Kimes et al.	29/516	09/30/99		
1/	DE	6,245,102 (B1)	06/12/01	Jayaraman	623/1.15	07/21/99		
	DF	6,258,100	07/11/01	Alfemess et al.	606/108	10/10/00		
ΑĎ	DG	6,270,527 (B1)	08/07/01	Campbell et al.	623/2.18	10/16/98		

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FORM PTO-1449

Sheet 6 of 11

APPLICATION SERIAL NO.: 10/645,473	ATTY DKT NO.: 38349-0121	CONFIRM NO.: 8003
APPLICANTS: Hendricksen et al.	CUSTOMER NO.: 24961	
FILING DATE: August 20, 2003	GROUP ART UNIT: 2855	

			U.S. P	ATENT DOCUMENTS		
AD	DH	6,287,290 (B1)	09/11/01	Perkins et al.	604/516	07/02/99
ı	DI	6,293,951 (B1)	09/25/01	Alfemess et al.	606/108	08/24/99
·	DJ	6,302,893 (B1)	10/16/01	Limon et al.	606/108	06/19/00
· -	· DK	6,312,407 (B1)	11/06/01	Zadno-Azizi et al.	604/103.03	02/19/98
<u> </u>	DL	6,325,777 (B1)	12/04/01	Zadno-Azizi et al.	604/97.01	10/01/99
•	DM	6,325,778 (B1)	12/04/01	Zadno-Azizi et al.	604/99.02	01/01/99
1.	DN	6,327,772 (B1)	12/11/01	Zadno-Azizi et al.	29/557	04/13/99
·	DO	6,328,689 (B1)	12/11/01	Gonzalez	600/37	03/23/00
•	DP	6,355,014 (B1)	03/12/02	Zadno-Azizi et al.	604/99.02	03/13/98
·	DQ	6,398,775 (B1)	06/04/02	Perkins et al.	604/514	10/21/99
•	DR	6,402,754 (B1)	06/11/02	Gonzalez	606/69	10/20/99
·	DS	6,416,554 (B1)	07/09/02	Alfemess et al.	623/23.65	08/24/99
·	DT	6,458,076	10/01/02	Pruitt	600/146	02/01/00
•	DU	6,485,407 (B2)	11/26/02	Alfemess et al.	600/37	02/09/01
1	DV	6,491,706 (B1)	12/10/02	Alfemess et al.	606/157	07/10/01
1	DW	6,493,589 (B1)	12/10/02	Medhkour et al.	607/99	08/10/00
V	DX	6,510,846 (B1)	01/28/03	O'Rourke	128/200.21	10/26/00
AD	DY	6,527,761 (B1)	03/04/03	Soltesz et al.	604/516	10/27/00

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FORM PTO-1449

Sheet 7 of 11

APPLICATION SERIAL NO.: 10/645,473	ATTY DKT NO.: 38349-0121	CONFIRM NO.: 8003
APPLICANTS: Hendricksen <i>et al.</i>	CUSTOMER NO.: 24961	
FILING DATE: August 20, 2003	GROUP ART UNIT: 2855	

	FOREIGN PATENT DOCUMENTS				
† EX'R INITIAL	REF.#	Patent Number	Date	Country	TRANSLATION (YES/NO)
ΑĎ	DZ	00/15149	03/23/00	PCT	
	EΑ	00/42950	07/27/00	PCT	
•	EВ	00/51510	09/08/00	PCT	
•	EC	00/62699	10/26/00	PCT	
٠	ED	00/78386 (A1)	12/28/00	РСТ	
•	EE	00/78407 (A1)	12/28/00	PCT	
•	EF	01/02042 (A1)	01/11/01	PCT	
•	EG	01/03642 (A1)	01/18/01	РСТ	
,	EH	01/05334 (A1)	01/25/01	PCT	
•	ΕI	01/10313 (A1)	02/15/01	РСТ	
•	EJ	01/10314 (A2)	02/15/01	РСТ	
•	ĒΚ	01/12104 (A1)	02/22/01	PCT	
•	EL	01/13839 (A1)	03/01/01	РСТ	
•	EM	01/13908 (A2)	03/01/01	РСТ	
	EN	01/28433 (A1)	04/26/01	EP ·	
V	EO	01/45590 (A2)	06/28/01	PCT	
AD	EP	01/49213 (A2)	07/12/01	РСТ	

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FORM PTO-1449

Sheet 8 of 11

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	APPLICANTS: Hendricksen et al.	CUSTOMER NO.: 24961	_
	FILING DATE: August 20, 2003	GROUP ART UNIT: 2855	

	FOREIGN PATENT DOCUMENTS				
AD	EQ	01/54585 (A1)	08/02/01	РСТ	
	ER	01/54625 (A1)	08/02/01	РСТ	
	ES	01/54685 (A1)	08/02/01	PCT	
	ET	01/66190 (A2)	09/13/01	PCT	
	EU	01/74271 (A1)	10/11/01	PCT	
	ΕV	01/87170 (A1)	11/22/01	PCT	
	EW	01/89366 (A2)	11/29/01	PCT	
	EX	01/95786 (A2)	12/20/01	PCT	
	EY	02/05884 (A2)	1/24/02	PCT	
	EZ	02/22072 (A2)	03/21/02	PCT	
	FA	02/32333 (A1)	04/25/02	PCT	
	FB	02/34322 (A2)	05/02/02	PCT	
	FC	02/38038 (A2)	05/16/02	РСТ	
	FD	02/47575 (A2)	06/20/02	PCT	
	FE	02/056794 (A2)	07/25/02	PCT	
	FF	02/084045 (A1)	08/22/02	PCT	
	FG	02/064190 (A2, A3)	08/22/02	PCT	
A	FH	02/069823 (A2, A3)	09/12/02	PCT	
AD	FI	02/094087 (A1)	11/28/02	PCT	

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR § 1.56, § 1.97, and § 1.98

FORM PTO-1449

Sheet 9 of 11

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	APPLICATION SERIAL NO.: 10/645,473	ATTY DKT NO.: 38349-0121	CONFIRM NO.: 8003
	APPLICANTS: Hendricksen <i>et al.</i>	CUSTOMER NO.: 24961	
	FILING DATE: August 20, 2003	GROUP ART UNIT: 2855	

FOREIGN PATENT DOCUMENTS					
ÅD	FJ	03/022124 (A2)	03/20/03	PCT	
	FK	03/079944 (A1)	10/02/03	РСТ	
	FL	03/088912 (A2)	10/30/03	РСТ	
	FM	0621 015 (A1)	10/26/94	EP	
	FN	0621 015 (B1)	10/26/94	EP	
	FO	1,371,700	02/07/88	SU	×
	FP	1,593,651	09/23/90	SU	×
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	FR	1 151 729 (A1)	11/07/01	EP	
	FS	2140211	10/27/99	RU	×
	FT	2324729	04/11/98	GB	
	FU	852321	07/08/81	SU	×
	FV	94/26175	11/24/94	PCT	
	FW	95/32018	11/30/95	PCT	
	FX	96/34582	11/07/96	PCT	X+
	FY	97/44085	11/27/97	PCT	
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W	GA	98/19633	05/14/98	РСТ	
ÅD	GB	98/39047	09/11/98	PCT	

EXAMINER'S SIGNATURE			DATE CONSIDERED
	/Annette Dixon/	(10/12/2006)	

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THE UNITED STATES PATENT AND TRADEMARK OFFICE CONFIRM NO .: APPLICATION SERIAL NO .: ATTY DKT NO .: 8003 38349-0121 10/645,473 INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98 CUSTOMER NO .: APPLICANTS: **FORM PTO-1449** 24961 Hendricksen et al. FILING DATE: GROUP ART UNIT:

Sheet 10 of 11

FOREIGN PATENT DOCUMENTS					
AD	GC	98/44854 (A1)	10/15/98	PCT	
	GD	98/48706	11/5/98	PCT	
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	GG	99/26692	06/03/99	PCT	
	GH	99/32040	07/01/99	PCT	
	GI	99/42059	08/26/99	PCT	
	GJ	99/42161	08/26/99	PCT	
ΑĎ	GK	99/64109 (A1)	12/16/99	PCT	

August 20, 2003

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x+ English Language Equivalent is enclosed.

OTHER DOCUMENTS			
† EX'R INITIAL	REF.#	CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)	
AD	GL	Al Jishi et al., "Selective Bronchial Occlusion for Treatment of Bullous Interstitial Emphysema and Bronchopleural Fistula." J. of Pediatric Surgery, 29:1545-1547, 1994	
AD	GM	Article: "Autocath® 100 – Nonsurgical, Intraurethral Bladder Control Device for Incontinent and Retentive Women – Dr. Kulisz's Development"	
ĀD	GN	Derwent citing Russian Patent No. RU 2140211, published 10/27/99, for. "Method of surgical treatment of patients with pathology of respiratory organs complicated with pulmonary hemorrhages"	

EXAMINER'S SIGNATURE	DATE CONSIDERED
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x English Language Derwent is enclosed.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE APPLICATION SERIAL NO .: ATTY DKT NO .: CONFIRM NO .: 10/645,473 38349-0121 8003 INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98 **FORM PTO-1449** APPLICANTS: CUSTOMER NO .: Hendricksen et al. 24961 Sheet 11 of 11 FILING DATE: **GROUP ART UNIT:**

August 20, 2003

2855

AĎ	GO	Derwent citing Soviet Union Patent No. SU 852-321, published 07/08/81, for: "Treatment for acute pulmonary and pleural disease in children - by pneumo-abcessotomy simultaneous with occlusion of affected lung part"
	GP	Derwent# 007607249 WPI Acc. No: 1988-241181/198834 (citing Russian Application No. SU4026409, published February 21, 1986), Russian Patent No. SU 1371700
	GQ	Derwent# 008650867 WPI Acc. No: 1991-154896/199121 (citing Russian Application No. SU4280143, published July 07, 1987), Russian Patent No. SU 1593651
	GR	Harris et al., "The Experimental Production in Dogs of Emphysema with Associated Asthmatic Syndrome by Means of an Intratracheal Ball Valve", J. Lab. Clini. Med., 9(iv):75-88, 1919
	GS	Lewis et al., "Pulmonary Interstitial Emphysema: Selective Broncial Occlusion with a Swan-Ganz Catheter". Archives of Disease in Childhood, 63:313-315, 1988
	GT	Mathew et al., "Selective bronchial obstruction for treatment of bullous interstitial emphysema." J. of Ped., 96:475-477, 1980
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	GV	Puhakka et al., "Acute Bronchial Obstruction: An Experimental Rabbit Model Study", Int. J. of Pediatric Otorhinolaryngology, 18:107-118, 1989
V	GW	Snider et al., The <u>Definition of Emphysema</u> : Report of the National Heart Lung and Blood Institute, Division of Lung Diseases Workshop, Am. Rev. Respir. Dis., 132:182-185, 1985
AD	GX	Woodring et al., "Pneumothorax ex Vacuo", CHEST, 100:1102-1124, 1996

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